



**Dorsey & Whitney LLP
Anti-Corruption 2018 Conference
July 16, 2018**

Agenda

Welcome – J Jackson, Partner, Dorsey & Whitney LLP, Minneapolis

This Annual Anti-Corruption Conference brings together thought leaders from industry and Dorsey's Government Enforcement and Corporate Investigations Group to provide unique insight and benchmarking related to corruption risks – domestic and international, public and private – facing companies in 2018.

**Session I – Keeping Up with the Changing Corruption Landscape
(8:30 am – 9:30 am)**

The past year has seen significant policy developments that impact the corruption landscape worldwide. DOJ announced a new policy regarding FCPA enforcement, economic sanctions and trade embargo policy has undergone significant change, the tariffs landscape seems to change almost daily, and government priorities related to money laundering continue to morph. This Panel will explore these developments, what they mean for business, and the increasing value of investment in compliance.

Kevin Ueland, Senior Counsel
3M Legal Affairs, Minneapolis

Larry Ward, Partner
Dorsey & Whitney LLP, Seattle

Beth Forsythe, Partner
Dorsey & Whitney LLP, Minneapolis

**Session II – Focus China: Great Rewards, Great Risk
(9:45 am – 10:45 am)**

China provides fertile soil for great business opportunities and commensurate risks. FCPA risks remain high, with state owned or controlled companies doing business with U.S. private enterprises. China remains in the top two countries generating FCPA-related investigations. Along with that is the constant threat of commercial bribery, theft of soft assets, running afoul of China regulations, and tariff reprisal. In short, China is a wonderful, complex country offering great risks. This Panel will address those opportunities and risks, offer suggestions for confronting those risks, and examine the influence of Chinese culture on internal investigations.

Michael J. Hoff, Assistant General Counsel & Compliance Officer
Tennant Company, Minneapolis

Speaker Biographies



Beth Forsythe
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Beth Forsythe is Co-Chair of the Government Enforcement & Corporate Investigation practice group. Her civil practice includes commercial litigation before federal and state courts. In her white-collar and internal corporate investigations practice, Beth represents corporations and individuals in need of internal investigative assistance or who are under investigation by federal and state authorities for securities fraud, health care fraud, mortgage fraud, public corruption, and Foreign Corrupt Practices Act ("FCPA") violations. Beth also routinely provides anti-corruption/FCPA due diligence and compliance advisory services, including program development, board presentations, and employee training. She is co-author of Dorsey's monthly Anti-Corruption Digest.



Michael Hoff
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Michael Hoff is Assistant General Counsel and Compliance Officer at Tennant Company. As Compliance Officer, Michael is responsible to design and direct compliance programs for business ethics, anti-corruption, data privacy, and trade. In addition to compliance, Michael is the primary in-house counsel for Tennant's EMEA and APAC business regions and its global strategic supply group. Prior to joining Tennant, Michael held a variety of legal and business roles in public and private companies including Honeywell, Onvoy, and MTS Systems. For three years, from 2011 to 2014, Michael served on the Board of Directors of Global Minnesota (f/k/a Minnesota International Center). Michael has a B.A. degree from the University of Minnesota, a J.D. degree from Hamline University, and an M.B.A. degree from the University of St. Thomas.



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J Jackson is a partner in Dorsey & Whitney's Trial Group. He is Co-Chair of the firm's global China Litigation Practice Group and is the former Co-Chair of the firm's Securities Litigation and Enforcement Practice Group. His practice focuses on securities litigation, trade secret and unfair competition litigation, antitrust, and complex commercial litigation, where he represents financial services and manufacturing entities. His trial and regulatory experience is extensive, including numerous jury and court trials and arbitrations throughout the United States, and several regulatory proceedings and investigations before the DOJ, SEC, FINRA, CFTC, and state regulators. These representations involve internal investigations and due diligence reviews, as well as securities and anti-trust class action lawsuits and other complex litigation. As Co-Chair of the firm's global China Litigation Practice Group, he has handled several lawsuits involving Chinese enterprises, and has worked closely with Dorsey China-based lawyers to build an infrastructure in China for complex litigations and investigations arising out of China.



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John Marti is Co-Chair of the Government Enforcement & Corporate Investigation practice group. John can help organizations navigate the challenges of responding to external and internal enforcement problems. With over 18 years of experience as a federal white collar prosecutor and Department of Justice leader, and over 20 years of leadership experience as a Marine Corps Officer, he has a sophisticated and deep understanding of government agencies and investigations, and extensive experience leading through crises. John has successfully tried over 50 cases as lead trial counsel and has conducted numerous investigations in the health care, financial services, banking, defense, education, and telecommunications industries. He has extensive experience in crisis response and management, having served as a Crisis Management Coordinator with the Department of Justice, and a Marine Corps infantry officer. John has been repeatedly recognized by numerous governmental and private agencies for his firm and calm leadership and legal abilities.



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Catherine Pan is a Partner in Dorsey & Whitney's Corporate Department and leads the firm's globally recognized U.S.-China transactional practice. As a highly skilled attorney and trusted advisor, she is relied on by our clients to handle their strategic corporate transactions and to solve complex legal problems for their businesses. Catherine has a strong client following among some of the world's largest corporations, financial institutions and business leaders. She frequently serves as their chief outside legal counsel. The strategic corporate transactions Catherine handles include mergers, acquisitions, joint ventures, equity and debt financings, and other cross-border corporate transactions.



Timothy Rank
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Timothy Rank is an Assistant United States Attorney and Deputy Criminal Chief overseeing the Fraud and Public Corruption Section of the United States Attorney's Office for the District of Minnesota. Mr. Rank prosecutes cases involving complex financial fraud, public corruption, and cybercrime. Mr. Rank is also a Computer Hacking and Intellectual Property Coordinator and the National Security Cybercrime Specialist for the Minnesota US Attorney's Office. Prior to joining the US Attorney's Office in 2004, Mr. Rank was an Assistant Attorney General for the State of Minnesota and Manager of the Criminal Division of the Minnesota Attorney General's Office, where he prosecuted felonies in counties throughout the state of Minnesota, primarily in the areas of homicide, major narcotics offenses, and internet crimes against children. Mr. Rank worked for the Attorney General's Office from 1998 to 2004. Mr. Rank started his legal career with the Minneapolis law firm Faegre & Benson, where he worked in the Business Litigation Section from 1994 to 1998. Mr. Rank is a magna cum laude graduate of both the University of Minnesota and the University of Minnesota Law School, where he served as an Editor on the Law Review. He was a law clerk to the Honorable Will Garwood on the United States Court of Appeals for the Fifth Circuit from 1993 to 1994.



Francisco Santamaria
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Francisco Santamaria is Executive Director of Business Development at Sinotau Pharmaceutical Group, which is based in Beijing, China. Francisco is responsible for developing and managing strategic alliances and licensing, as well as market development for new products. Francisco has extensive expertise in developing partnerships with non-Chinese pharmaceutical companies and guiding their entry into the Chinese market and dealing with its particulars. Francisco has a B.A. degree in International Studies from Texas A&M University, and an M.B.A. degree from Harvard Business School.



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Kevin Ueland is Senior Counsel with the Litigation and Preventive Law team within 3M Legal Affairs. He handles government investigations, enforcement actions, intellectual property litigation, and other commercial litigation. Prior to joining 3M Legal Affairs, Kevin was an Assistant United States Attorney in the District of Minnesota. As an AUSA, he was responsible for all aspects of federal investigations and criminal prosecutions, including leading investigations into matters involving cybercrimes. Prior to joining the U.S. Attorney's Office, Kevin gained broad experience in civil litigation as an associate at two large international law firms.



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Larry Ward helps companies involved in international trade understand and comply with various complicated U.S. regulatory schemes in a cost-effective manner so that they can avoid the monetary, criminal and other penalties and reputational damage faced when those regulations are violated. As a former appointed member of the U.S. State Department's Defense Trade Advisory Group (DTAG), Larry has built important connections with U.S. Government and industry leaders, which further bolsters his ability to provide practical compliance insight to his clients. Larry helps clients build and refine compliance programs, train employees, classify products for export purposes, apply for export licenses and classification requests from the U.S. Government and structure internal safeguards for dealing with classified federal government information. When something goes wrong, he helps companies internally investigate potential violations of the law and strategize how best to disclose violations to the U.S. Government. He often helps clients craft due diligence programs and assists with vetting of potential foreign partners to ensure that the risks of violations of export control and international business ethics, anti-bribery and anti-corruption laws is low.